JC07 Rec'd 7 61/PTO 1 3 DEC 2001 ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1390 B45187 (REV 5-93) TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 29 June 1999 23 June 2000 PCT/EP00/05841 TITLE OF INVENTION VACCINE-APPLICANT(S) FOR DO/EO/US

Joe COHEN, Nathalie GARCON, and Gerald VOSS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [x] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [x] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [x] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [ ] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S. C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

- 11. [x] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and Form PTO-1449.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. [x] A FIRST preliminary amendment.
- 14. [] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [x] Please amend the specification by inserting before the first line the sentence: This is a 371 of International Application PCT/EP00/05841, filed June 23, 2000, which claims benefit from the following Provisional Application: GB 9915204.3, filed June 29, 1999.
- 16. [] A substitute specification.
- 17. [] A change of power of attorney and/or address letter.
- 18. [x] An Abstract on a separate sheet of paper.
- 19. [x] Other items or information: Sequence Listing, Statement to Support, Diskette

10018704.030702 531 Rec' 13 DEC 2001

US APPLICATION NO. (if known see 35 CFR 1.50) INTERNATIONAL APPLICATION NO. PCT/EP00/05841			ATTORNEYS DOCKET NO. B45187		
20. [X] The following fees are submitted:				CALCULATIONS	PTO USE ONLY
	National Fee (37 C.F		<u> </u>		
Search Report has been prepared by the EPO or JPO\$890.00					
International Preliminary Examination Fee paid to USPTO (37 CFR 1.492)					
\$710.00					
No International Preliminary Examination Fee paid to USPTO (37 CFR 1.492)					
	onal search fee paid to				
Neither International Proliminary Francisco For (27 CFR 1 402) man					
Neither International Preliminary Examination Fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO					
International Preliminary Examination Fee paid to USPTO (37 CFR 1.492) and					
all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30				\$0.00	
	earliest claimed priori		r`''	•	
Claims	Number Filed	Number Extra	Rate		
Total claims	12 - 20 =	0	0 x \$18.00	\$0.00	
Independent	2 - 3 =	0	0 x \$84.00	\$0.00	
claims	. 1 : 66 1: 11		4400.00		
Multiple dependent claims (if applicable) + \$280.00				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity				\$	
statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).  SUBTOTAL =				¢000 00	
Processing fee of \$130.00 for furnishing the English translation later than				\$890.00	
$\square$ 20 $\square$ 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +				\$	
TOTAL NATIONAL FEE =				\$890.00	
			•	Amount to be	\$
			•	refunded	
	<del></del>			charged	\$
	ck in the amount of \$				
			n the amount of <b>\$890.00</b>	to cover the above for	ees.
	licate copy of this shee		any additional fees which	may be required	
credit	any overpayment to D	eposit Account No. 19	9-2570 . A duplicate cop	i may be required, or	osed
d. 🛛 Genera	al Authorization to cha	rge any and all fees u	nder 37 CFR 1.16 or 1.17	, including petitions f	for

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

extension of time relating to this application (37 CFR 1.136 (a)(3)).

SEND ALL CORRESPONDENCE TO:

**GLAXOSMITHKLINE** 

Corporate Intellectual Property - UW2220

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**SIGNATURE** 

Zoltan Kerekes

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REGISTRATION NO.

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PATENT ATTORNEY'S DOCKET NUMBER B45187

## TRANSMITTAL LETTER TO THE U.S. DESIGNATED OFFICE (DO/US) - ENTRY INTO NATIONAL STAGE UNDER 35 USC 371

INTERNATIONAL APP. NO.

INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED

PCT/EP00/05841

23 June 2000

29 June 1999

TITLE OF INVENTION

VACCINE

APPLICANT(S) FOR DO/US

Joe COHEN, Nathalie GARCON, and Gerald VOSS

**BOX PCT** 

**Assistant Commissioner for Patents** 

Washington, D.C. 20231 ATTENTION: DO/US

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Transmittal Letter, Form PTO 1390 and the papers indicated as being transmitted therewith, and Post Card are being deposited with the United States Postal Service on this date December 13, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV000522470US addressed to the:

Assistant Commissioner for Patents, Washington, D.C. 20231

Elsa Matos

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

20462

PATENT TRADEMARK OFFICE